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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.
First Inventor
Title

Express Mail Label No.

40296-0042

Ta Yun KIM

S If-Refr sh Apparatus and M thod

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.				ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450							
1. 2. 3.	(Submit an original and a dupl.  Applicant claims small entity  Specification (preferred arrangement set for - Descriptive title of the Inventi - Cross Reference to Related A - Statement Regarding Fed-Sp - Reference to sequence listing program listing appendix - Background of the Invention - Brief Summary of the Invention	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27.  Specification [Total Pages 39] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed-Sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Detailed Description of the Drawings (if filed)			Alexandria, VA 22313-1450  7.						
	- Claim(s)		9.		ent Papers (co	ver sheet & document(s))					
	- Abstract of the Disclosure		10.		3.73(b) Stateme ere is an assigi						
4.	□ Drawing(s) (35 CFR 113)	[Total Sheets 15]	11.	☐ English T	ranslation Doc	ument (if applicable)					
5.	<ul><li>☑ Oath or Declaration</li><li>a. ☑ Newly executed (original original origin</li></ul>	[Total Sheets 3]	12.		on Disclosure nt (IDS)/PTO-14	☐ Copies of IDS Citations					
		oplication (37 CFR 1.63(d))	13.	Prelimina	t .						
	(for continuation/division	nal with Box 18 completed) F INVENTOR(S)	14.	<ul> <li>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> </ul>							
	Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76			15.							
6.				16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/Sb/35 or its equivalent.							
			17.	Other:							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.  Prior application information: Examiner Art Unit:  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.											
		19. CORRESPONDE	NCE	ADDRESS							
⊠ c	ustomer Number	26633			or Corre	spondence address below					
Nam	e										
Address											
City		State		1	Zip Code						
Cou	ntry 7	Telephone		<u>f</u> _	Fax						
Name (Print/Type) Johnny A. Kumar N. 1 N. Registration No. (Attorney/Agent) 34 649											
Signature 3011111 A. Right		my of min		3.50.0001110. (	Date	December 8, 2003					

This collection of information is required by 37 C.F.R. (\$3(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions by reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

Johnny A. Kumar

(\$) 810.00

Complete if Known							
Application Number	Unassigned						
Filing Date	December 8, 2003						
First Named Inventor	Tae Yun KIM						
Examiner Name	Unassigned						
Art Unit	Unassigned						
Attorney Docket No.	40296-0042						

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None	3. A	DDITION	AL FEI	ES		
Deposit Account:			-			* -
Deposit	Large	Entity	Small	Entity		Fee
Account 08-1641 (Docket No. 40296-0042) Number	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Paid
Deposit	1051	130	2051	65	Surcharge - late filing fee or oath	
Account Heller Ehrman White & McAuliffe LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	1053	130	1053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	-
☐ Charge any additional fee(s) during the pendency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) indicated below, except for the filing fee to the above- identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity Fee Description Fee Paid Fee Fee Fee Fee	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee 770	1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 770	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims 13 $-20^{**} = $ 0 x 18 $=$ 0	1503	640	2503	320	Plant issue fee	
Independent 3 -3** = 0 x 86 = 0	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	-
Large Entity Small Entity Fee Description Fee Fee Fee Fee	1806	180	1806	180	Submission of Information Disclosure	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	40	Stmt  Recording each patent assignment per	
					property (times number of properties)	40
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 **Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)	Other fee	Other fee (specify)				-
**or number previously paid, if greater; For Reissues, see above	* Reduce	ed by Basic	Filing Fo	ee Paid	SUBTOTAL (3)	(\$) 40
SURMITTED RV						. (5) 10

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Registration No.

(Attorney/Agent)

34,649

December 8, 2003

202-912-2000

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Date